SEP 13 2006 W

PATENT APPLICATION

ITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q80145

Shin-ichi UEHARA, et al.

Appln. No.: 10/787,144

Group Art Unit: 2871

Confirmation No.: 4598

Examiner: Michael H. CALEY

Filed: February 27, 2004

For: IMAGE DISPLAY DEVICE AND PORTABLE TERMINAL DEVICE USING THE SAME

<u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- Japanese Patent Application Publication No. 2001-075049, published on March 23, 2001. (English Abstract attached)
- Japanese Patent Application Publication No. 2002-174852, published on June 21, 2002. (English Abstract attached)

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in

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INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/787,144

Attorney Docket: 80145

the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a

Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a corresponding Japanese Office

Action dated June 27, 2006 and an English translation of the pertinent portions thereof which cites

such documents and indicates the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee

and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to

said Deposit Account.

Respectfully submitted,

Howard L. Bernstein

Registration No. 25,665

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> 23373 CUSTOMER NUMBER

Date: September 13, 2006

2

Substitute for Form 1449 A & B/PTO

Sheet

SEP 1 3 2006 INFORMATION DISCLOSURE STATEMENT BY APPLICATION

> of 1

Complete if Known 10/787,144 Application Number 4598 Confirmation Number February 27, 2004 Filing Date Shin-ichi UEHARA First Named Inventor 2871 Art Unit Michael H. CALEY **Examiner Name** Q80145 Attorney Docket Number

(use as many sheets as necessary)

U.S. PATENT DOCUMENTS Document Number **Publication Date** Name of Patentee or Applicant of Cited Document Cite Examiner Kind Code² MM-DD-YYYY Initials* No.1 Number (if known) US US US US US US US US US

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	
		JP	2001-075049		03-23-2001		
		JP	2002-174852		06-21-2002		
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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶		

Examiner Signature	Date Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to indicate here if English language Translation is attached.



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IMAGE DISPLAY DEVICE AND PORTABLE TERMINAL DEVICE USING THE

SAME

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Registration No. 25,665

L'Bernstein

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WASHINGTON OFFICE 23373
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Note (for the cited literature, see the List of Cited Literature)

• Claims: 1, 3, 7, 11, 13–15, and 20–23

• Cited literature: 1 and 2

Remarks

Cited Literature 2 states that the reflective surface has irregularities (paragraph (0050)) and that the focal distance of the lens is made greater than the distance between the top surface of the reflective surface and the top surface of the lens (paragraph (0080), etc.). Applying said arrangement of Cited Literature 2 to the device described in Cited Literature 1 to arrive at the arrangement of the inventions according to Claims 1, 7, 11, 13–15, and 20–23 of the present application would be easy for a person skilled in the art. Furthermore, Claim 3 of the present application can be said to merely provide for irregularities as found in Cited Literature 2 within the device described in Cited Literature 1 (Figure 4).

List of Cited Literature

 Japanese Unexamined Patent Application Publication 2001-075049 Japanese Unexamined Patent Application Publication 2002-174852 							
		Record of Prior Art Literature Search Results					
• Fields searched	IPC	G02B 27/22–27/26, G02F 1/13, 1/1335					
	DB name	_					
 Prior art literature 	•	_					

This Record of Prior Art Literature Search Results does not constitute a reason for rejection.